

# Sensitivity to change and responsiveness of lowering to the ground and rising from the ground evaluation in Duchenne muscular dystrophy: one-year longitudinal observation

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## HIGHLIGHTS

- Lowering to rising from the ground in patients with Duchenne muscular dystrophy.
- Three months intervals changes lowering to the ground and rising from the ground.
- Six to twelve months intervals changes became more apparent.
- Patients should be reassessed after nine months from the lowering to and rising from the ground.

## ABBREVIATIONS

CI	Confidence interval
DMD	Duchenne muscular dystrophy
ES	Effect sizes
FES	Functional Evaluation Scale
ICC	Intraclass coefficients
MCID	Minimal clinically important differences
MDC	Minimal detectable changes
MFM	Motor Function Measure
NSAA	North Star Ambulatory Assessment
SD	Standard deviation
SRM	Standardized response means

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**BACKGROUND:** The progressive weakness of Duchenne muscular dystrophy (DMD) interferes with performance. This study investigated the sensitivity to change and the responsiveness of sitting and standing from the ground in patients with DMD.

**AIM:** The aim was to assess the sensitivity to change and the responsiveness of lowering to/ rising from the ground, in three, six, nine, and twelve month-evaluation intervals and to define the most suitable reevaluation intervals for ambulatory patients with DMD.

**METHOD:** This is an observational, longitudinal study. Recordings of 28 patients performing lowering to/ rising from the ground were analyzed. Sensitivity to change was assessed using effect sizes and standardized response means. Responsiveness was assessed using minimal detectable changes (MDC) and minimal clinically important differences (MCID).

**RESULTS:** In the lowering to the ground, significant sensitivity to change was found in higher than 6 months reassessment intervals. In the rising from the ground, significant sensitivity to change was observed in higher than 9 reassessment intervals. MDC and MCID varied from 1.0 to 1.6 points and from 0.5 to 2.5 seconds when lowering to the ground and from 1.3 to 2.6 points and from 5.0 to 28.0 seconds when rising from the ground.

**CONCLUSION:** Patients should be reassessed after nine months from the lowering to and rising from the ground. Increments of 2.0 points and/or 2.5 seconds (or higher) in the score of lowering to the ground assessment denote clinically relevant changes. Increments of 3 points (or higher) in rising from the ground assessment are clinically relevant. In this task, the timed performance showed high variability and should be analyzed in association with other measures for clinical decision-making.

**KEYWORDS:** Evaluation | Neuromuscular diseases | Outcome assessment | Psychometrics

## INTRODUCTION

The progressive muscle weakness that occurs in Duchenne muscular dystrophy (DMD) impairs functional performance.<sup>1-5</sup> Considering the increase in life expectancy<sup>4,6,7</sup> and the new treatment techniques<sup>8,9</sup> in DMD, assessment tools must express the functional and clinical status of patients with DMD accurately. Functional assessment is essential to describe DMD progression, which can be measured by scales with determined sensitivity to change and responsiveness.<sup>10-14</sup>

Sensitivity to change and responsiveness analyses specify reassessment time intervals<sup>10-13</sup> and indicate the magnitude of the changes related to natural progression [15] or treatment.<sup>16</sup> The sensitivity to change and the responsiveness of the Motor Function Measure (MFM),<sup>12</sup> the North Star Ambulatory Assessment (NSAA)<sup>7</sup>, and questionnaire ACTIVLIM<sup>11</sup> have been described. However, these instruments are time-consuming and require specific standardized equipment.

The evaluation of lowering to the ground and rising from the ground is simple, fast, and requires few spaces and equipment. These activities can be performed in any regular examination room and filmed (after proper consent is given by children and caregivers). The films can be scored by the Functional Evaluation Scale for patients with DMD (Table 1). The test assesses specific compensatory movements of lowering to the ground and rising from the ground in ambulatory patients with DMD.<sup>17</sup> The timed performance can be measured digitally with any regular film-watching software or with a chronometer.

The Gowers sign is one of the most important clinical characteristics of boys with DMD. It was described by the neurologist Sir William Richard Gowers, as a pattern of rising from the floor, from a supine or sitting position, which is seen in boys with pseudo hypertrophic muscular paralysis.<sup>18</sup> It is a clinical sign for how children with DMD rise from the ground by grasping and pulling on body parts from the knees to hips. The use of arms and hands is observed firstly to roll prone, then to extend arms and legs and "climb up the thighs" to assuming an erect posture.<sup>19</sup> These compensatory movements are indicative of proximal muscle weakness, involving the pelvic girdle and lower extremities.<sup>3,17,20,21</sup>

Although not formally observed in clinical practice, lowering to the ground may also provide relevant information. As the child will have to assume the supine position before rising from the ground, clinicians and researchers may ask the child to perform this task as independently as possible and record the lowering to the ground task. This combined assessment will not add extra evaluation time. Besides, observing the way the child exerts eccentric control with antigravity postural muscles will provide information about the compensatory movements performed and the time required.<sup>21</sup>

Sitting and standing from the ground are frequently used in research and clinical practice to evaluate patients with DMD. These tasks can evidence how much antigravity muscles are affected. However, the sensitivity to change and the responsiveness of sitting and standing from the ground are not clear. Therefore, reassessments can be scheduled in intervals that may lack some changes or are not long enough to detect them.

This study investigated the sensitivity to change and the responsiveness of sitting and standing from the ground in patients with DMD. The aim was to assess the sensitivity to change and the responsiveness of lowering to/ rising from the ground, in three, six, nine, and twelve months-evaluation intervals and to define the most suitable reevaluation intervals for ambulatory patients with DMD.

**Table 1 – Functional Evaluation Scale for compensatory movements scoring in DMD**

Lowering to the ground (total score 0 – 10)		Time:	Score	
Phase 1				
Trunk flexion with forward weight transfer (score 0 – 3)	without support		0	
	with upper limbs support on lower limbs		1	
	with upper limbs support on the ground		2	
	external support required		3	
Phase 2				
Sitting posture (score 0 – 1)	Patient maintains sitting without upper limbs support		0	
	Patient maintains sitting with one or both upper limbs support		1	
Phase 3				
Side-lying transition (score 0 – 1)	without side-lying transition		0	
	with side-lying transition		1	
Transition to lying (score 0 – 2)	without upper limbs support		0	
	lying with one upper limb support		1	
	lying with both upper limbs support		2	
Antigravity control (score 0 or 3)	Patient controls trunk descent		0	
	Patients lets himself fall on the ground		3	

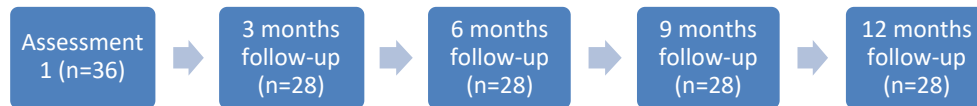
Rising from the ground (total score 0 – 15)		Time:	Score	
Phase 1				
Supine to sitting (score 0 – 2)	without upper limb support		0	
	with one upper limb support		1	
	with both upper limbs support		2	
Phase 2				
Side-lying transition (score 0 or 2)	without side-lying transition		0	
	with side-lying transition		2	
Sitting posture (score 0 – 3)	Patient does not perform transition to sitting		0	
	Patient maintains sitting without upper limbs support		1	
	Patient maintains sitting with one upper limb support		2	
	Patient maintains sitting with both upper limbs support		3	
Phase 3				
Sitting to quadrupedalism (score 0 – 1)	Patient does not perform quadrupedalism		0	
	external support required		1	
Phase 4				
Quadrupedalism to kneeling and/or half-kneeling (score 0 – 2)	Patient does not perform kneeling and/or half-kneeling before standing		0	
	Patient performs transition to kneeling or half-kneeling		1	
	Patient performs transitions to kneeling and half-kneeling		2	
Phase 5				
Transition to standing (score 0 – 4)	upper limbs support on lower limbs is not necessary		0	
	upper limb support on the knee		1	
	upper limbs support on the knees and thighs		2	
	upper limbs support on legs, knees and thighs		3	
	external support required		4	
Standing (score 0 – 1)	Patient can stand without support		0	
	Patient cannot stand without support		1	

## METHODS

This is an observational and longitudinal study approved by the Research Ethics Committee of Faculty of Medicine of the University of São Paulo, process 435/13.

## Subjects

Recordings of 36 boys (with molecular DMD diagnosis) from the Laboratory of Physiotherapy and Behavior were analyzed. All of them had been diagnosed at least two years before this study. Children were evaluated at three months intervals within one year (five assessments per child). Participants with missing reassessment data were excluded ( $n=8$ ). Thus, we evaluated 140 recordings of lowering to the ground (5 evaluations per child) and 140 recordings of rising from the ground (5 evaluations per child) of 28 boys with DMD (Figure 1).



**Figure 1.** Assessment protocol. Legend:  $n=36$ : firstly, recordings of 36 boys were observed. However, due to missing data, 8 were excluded. Therefore, 28 were reassessed after 3, 6, 9, and 12 months.

Considering that we had a convenience sample, we calculated the sample size according to the confidence level of 95%, the total width of our confidence interval ( $CI=10$ ), and the mean standard deviation ( $SD=2$ ) and we found that our final sample size ( $n=28$ ) was valid.<sup>22,23</sup> As we started with a sample of 36 and finished with a sample of 28 boys, the follow-up rate was 78%.

All participating children were ambulatory. Boys were filmed in sagittal and frontal planes.<sup>17</sup> Scoring from 1 to 3 on Vignos scale<sup>24</sup> at the first evaluation was adopted as the inclusion criterion. Sample characteristics are presented in table 2 (Table 2).

**Table 2 – Sample characteristics**

Characteristics	Age	Weight	Height
Mean	7.8 years	40.1 kilograms	1.35 meters
Standard deviation	1.9 years	9.9 kilograms	0.14 meters

## Procedure

The Functional Evaluation Scale for patients with DMD assesses specific compensatory movements of ambulatory patients. Previous studies showed inter- and intra-observer reliability of compensatory movements scoring.<sup>5,14,17,20,21</sup> The domain lowering to the ground and rising from the ground showed excellent intra and inter reliability.<sup>17</sup> The maximum score of lowering to the ground is 10 and the maximum score of rising from the ground is 15. Higher scores denote more compensatory movements, therefore, poorer clinical and functional status (Table 1).

Simple verbal commands were given, explaining that volunteers should perform the tasks as fast as possible. The time for lowering to the ground was measured from the moment the child was able to keep the orthostatic position up to lying on the ground. The time spent standing up from the ground was measured in seconds from the moment the child was in dorsal decumbent position until acquiring the upright position. Three collections were made, and the mean score and timed performance were used. The tasks were filmed in sagittal and frontal planes.

To facilitate data collection and analysis, FES-DMD-DATA software was used.<sup>25</sup> FES-DMD-DATA displayed the video on one side of the computer screen and the scoring sheet on the other side. The rater could then watch the video and score the compensatory

movements simultaneously. The video could be watched in slow motion and repeated or paused whenever necessary.

Five assessments were carried out in the period of one year, with three months intervals. Two raters filmed the patients and another one scored the compensatory movements and time. All examiners had a minimum of two years of experience with patients with neuromuscular disorders. Patients were scored randomly, and the rater was blinded to the previous scores given to the same and other patients and demographic data (age, Vignos, height, weight).

To assess the sensitivity to change, reevaluation periods of three months (0 to 3, 3 to 6, 6 to 9, and 9 to 12 months), six months (0 to 6, 3 to 9, 6 to 12), nine months (0 to 9 and 3 to 12 months) and one year (0 to 12 months) were adopted.

### Statistical Analysis

According to the COSMIN taxonomy, measurement properties of outcome measurement instruments include reliability, responsiveness, validity, and interpretability. Reliability involves test-retest, inter-rater and intra-rater measures, internal consistency, and measurement error, that were previously described for FES-DMD.<sup>5,14,17,20,21</sup> Responsiveness, which is approached in the present study, refers to the ability of an outcome measure to detect change over time in the construct to be measured. It refers to the validity of a change score, and it is distinguished from validity in the taxonomy for reasons of clarity. Further studies should investigate interpretability and validity, which involves content validity, construct validity, and criterion validity. Sensitivity to change was determined by the effect size (ES) and the standardized response mean (SRM) calculations. For quantifying and interpreting the sensitivity to change over time, the combined use of ES and SRM is recommended.<sup>26-29</sup> To calculate the ES, the equation:  $ES = (M_x - M_0) / SD_0$  was used.  $M_x$  was the mean score of the assessment  $x$ .  $M_0$  was the mean score of the initial evaluation and  $SD_0$  was the standard deviation of the initial evaluation. SRM was defined as  $SRM = (M_x - M_0) / SD_{M_x-M_0}$ , in which the difference between  $M_x$  and  $M_0$  was divided by the standard deviation of the difference between  $M_x$  and  $M_0$ .<sup>26</sup>

We calculated our ES and SRM significance levels based on the confidence level of 95%, the mean width of the confidence level of 10, the standard deviation of 2, the sample size of 28,  $\alpha=0.05$ , and  $\beta=0.20$ .<sup>22</sup> Therefore, significant effect sizes were determined as  $\geq 0.70$ .

Responsiveness was determined by the minimal detectable change (MDC) and the minimal clinically important difference (MCID).<sup>30</sup> The response capacity over time was calculated by the MDC and the MCID. The MDC was calculated by the equation  $1.96\sqrt{2[SD\sqrt{(1-ICC)}]}$ . The intraclass coefficients (ICC) calculated in our previous study<sup>17</sup> were used (lowering to the ground: 0.93; rising from the ground: 0.92). The MCID is the smallest clinical change a patient would identify as important. It was determined using the one-half standard deviation benchmark of each measure.<sup>30</sup>

## RESULTS

The demographic data and the scores of lowering to the ground and rising from the ground are displayed in Table 2. The minimum and maximum scores and times, and the responsiveness measures (MDC and MCID) are shown in Table 3. The sensitivity to

change measures (ES and SRM) are displayed in Table 4.

In the lowering to the ground scores and timed performance, significant sensitivity to change was found in 6 (or higher) reassessment intervals. In the rising from the ground, significant sensitivity to change was observed in 6 (or higher) reassessment intervals for scores and in 9 (or higher) intervals for timed performance (ES and SRM significance levels set as  $\geq 0.70$ ). MDC and MCID varied from 1.0 to 1.6 points and from 0.5 to 2.5 seconds in the lowering to the ground and from 1.3 to 2.6 points and from 5.0 to 28.0 seconds in the rising from the ground.

**Table 3** – Scores and times on lowering to the ground and rising from the ground.

Activity	Measure	Age (years)	Vignos (score)	Assessment 1 (initial)		Assessment 2 (after 3 months)		Assessment 3 (after 6 months)		Assessment 4 (after 9 months)		Assessment 5 (after 12 months)	
				Score	Time (mS)	Score	Time (mS)	Score	Time (mS)	Score	Time (mS)	Score	Time (mS)
Lowering to the ground	Minimum	5.0	1.0	0.0	1320.0	0.0	1380.0	0.0	1220.0	1.0	1170.0	1.0	1080.0
	25 <sup>th</sup> percentile	6.3	1.0	2.0	2087.5	2.5	2017.5	4.0	2167.5	4.0	2622.5	5.0	2567.5
	50 <sup>th</sup> percentile	8.0	2.0	4.5	2330.0	5.0	2605.0	5.0	2855.0	5.0	3615.0	5.5	3100.0
	75 <sup>th</sup> percentile	9.0	3.0	5.0	2840.0	5.0	3242.5	5.8	3922.5	7.0	4737.5	7.0	6947.5
	Maximum	12.0	3.0	7.0	6290.0	8.0	7380.0	8.0	11880.0	8.0	12010.0	8.0	12110.0
	Minimal detectable change (MDC)	1.4	0.6	1.6	764.6	1.6	1038.9	1.5	2022.3	1.6	2269.2	1.5	2516.8
	Minimal clinically important difference (MCID)	0.9	0.4	1.1	510.9	1.0	694.1	1.0	1351.2	1.1	1516.2	1.0	1681.6
Rising from the ground	Minimum	5.0	1.0	3.0	3520.0	4.0	4830.0	4.0	4930.0	4.0	4990.0	4.0	5020.0
	25 <sup>th</sup> percentile	6.3	1.0	4.0	8192.5	5.0	7545.0	5.0	7475.0	6.0	7915.0	7.0	8312.5
	50 <sup>th</sup> percentile	8.0	2.0	6.5	13140.0	7.5	19245.0	9.0	17005.0	9.0	19380.0	9.5	22840.0
	75 <sup>th</sup> percentile	9.0	3.0	8.8	28215.0	9.8	34650.0	11.0	33112.5	13.0	36927.5	13.0	44347.5
	Maximum	12.0	3.0	12.0	37980.0	13.0	55070.0	13.0	81600.0	14.0	100080.0	14.0	127200.0
	Minimal detectable change (MDC)	1.4	0.6	2.0	9420.0	2.3	12287.0	2.6	17312.9	2.6	20282.2	2.5	28811.5
	Minimal clinically important difference (MCID)	0.9	0.4	1.3	5887.5	1.5	7679.4	1.6	10820.6	1.6	12676.4	1.6	18007.2

**Table 4** – Sensitivity to change of lowering to the ground in reevaluation periods of three, six, nine and twelve months.

Reevaluation interval		3 months				6 months			9 months		12 months
Activity (scores)	Measure	0-3 mo	3-6 mo	6-9 mo	9-12 mo	0-6 mo	3-9 mo	6-12 mo	0-9 mo	3-12 mo	0-12 mo
Lowering to the ground score	Effect size (ES)	0.28	0.23	0.28	0.20	0.50	0.50	0.48	<b>0.77*</b>	<b>0.70*</b>	<b>0.96*</b>
	Standardized Response Mean (SRM)	0.55	0.57	0.62	0.43	<b>0.75*</b>	<b>0.99*</b>	<b>0.77*</b>	<b>1.05*</b>	<b>1.02*</b>	<b>1.15*</b>
Rising from the ground score	Effect size (ES)	0.40	0.32	0.29	0.28	0.68	0.53	0.52	<b>0.92*</b>	<b>0.71*</b>	<b>1.19*</b>
	Standardized Response Mean (SRM)	0.55	0.68	0.63	0.66	<b>0.73*</b>	<b>0.97*</b>	<b>0.96*</b>	<b>1.03*</b>	<b>1.12*</b>	<b>1.25*</b>
Activity (times)	Measure	0-3 mo	3-6 mo	6-9 mo	9-12 mo	0-6 mo	3-9 mo	6-12 mo	0-9 mo	3-12 mo	0-12 mo
Lowering to the ground timed performance	Effect size (ES)	0.42	0.55	0.28	0.18	<b>1.17*</b>	<b>1.09*</b>	0.57	<b>1.90*</b>	<b>1.27*</b>	<b>2.14*</b>
	Standardized Response Mean (SRM)	0.40	0.36	0.30	0.17	0.51	0.62	0.44	<b>0.71*</b>	<b>0.70*</b>	<b>0.73*</b>
Rising from the ground timed performance	Effect size (ES)	0.40	0.26	0.16	0.33	0.66	0.42	0.54	<b>0.94*</b>	<b>0.96*</b>	<b>1.64*</b>
	Standardized Response Mean (SRM)	0.47	0.27	0.21	0.49	0.21	0.36	0.46	0.65	0.68	<b>0.71*</b>

\*Significance level for the effect size and standardized response mean was determined as  $\geq 0.70$ . This calculation was based on the confidence level of 95%, the mean width of confidence level of 10, standard deviation of 2, sample

## DISCUSSION

The present study investigated the sensitivity to change and the responsiveness of sitting and standing from the ground in patients with DMD. The aim was to assess the sensitivity to change and the responsiveness of lowering to/ rising from the ground, in three, six, nine, and twelve months-evaluation intervals and to define the most suitable reevaluation intervals for ambulatory patients with DMD. Our results showed that, in three months evaluation intervals, it was possible to observe functional changes in lowering to the ground and rising from the ground. However, these changes became more apparent and reached a significant level in longer reevaluation periods (in six to twelve months intervals).

With the progression of DMD, there was a need to employ compensatory movements to perform lowering to and rising from the ground.<sup>17,20,21</sup> The observation of upper limb support on the ground during trunk flexion and side-lying, corroborates with the previous studies by Martini, Voos, Hukuda, Resende, & Caromano (2014)<sup>20</sup> and Martini, Hukuda, Caromano, Favero, Fu & Voos (2015)<sup>21</sup>. These compensatory movements were performed to compensate for the progressive loss of eccentric and antigravity control during the transition from standing to sitting. Significant variation was observed among children, which was expected, due to genetic and environmental heterogeneity.<sup>4</sup>

The most frequently observed compensatory movement occurred during the transition from quadrupedalism to standing, with a frequent need for external support. This can be explained by factors such as difficulty contracting hip and trunk extensor muscles,



difficulty maintaining semi-kneeling posture due to hip flexor muscles weakness, and difficulty performing hip, knee, and ankle extensions in a closed kinetic chain.<sup>20,21</sup> Compensatory movements observed during rising from the ground are a typical way of dealing with the weakness of lower limb muscles, which work against the gravity force.

Mazzone et al. (2013)<sup>7</sup> investigated functional impairments and possible indicators of gait loss in boys with DMD in 24 months. They assessed rising from the ground by timed performance, which was considered a biomarker of DMD progression. They found that the number of boys who did not carry out the activity progressively increased at 12 and 24 months. The inability of rising from the ground was followed by gait interruption. The present study detected clinical changes in rising from the ground since three months intervals (even with a much smaller sample). Most children of our study showed significant clinical changes after six months. This shows that in research and clinical practice, reevaluation intervals of six months can be used for rising from the ground assessment.

Not only rising from the ground but also lowering to the ground is relevant in DMD evaluation and clinical decision making.<sup>17,20,21</sup> In some psychometric properties, lowering to the ground testing was even more responsive than rising from the ground. In lowering to the ground scores and timed performance, significant sensitivity to change was found in 6 (or higher) reassessment intervals. In rising from the ground, significant sensitivity to change was observed in 6 (or higher) reassessment intervals for scores and in 9 (or higher) intervals for timed performance. MDC and MCID varied from 1.0 to 1.6 points and from 0.5 to 2.5 seconds in lowering to the ground and from 1.3 to 2.6 points and from 5.0 to 28.0 seconds in rising from the ground. This lower variability in lowering to the ground scores and mainly in timed performance facilitates clinical decision making based on ES, SRM, MDC, and MCID.

Vuillerot et al. (2013)<sup>12</sup> investigated the sensitivity to change of MFM in boys with DMD (10 ambulatory and 31 wheelchair-dependent). They found high sensitivity to change with SRM=0.91 after one year. Vandervelde et al. (2009)<sup>11</sup> investigated the sensitivity to change of ACTIVLIM questionnaire, which evaluates the limitations in daily activities in patients with neuromuscular diseases. Twenty-seven boys with DMD were evaluated in two sessions with an interval of 21±4 months (11-27 months). The questionnaire showed SRM=0.81. We believe that lowering to and rising from the ground can be used as a complementary assessment. These activities can detect clinical changes in shorter intervals than MFM and ACTIVLIM and may provide additional information to these well-known testing protocols.

Lowering to the ground and rising from the ground assessments are simple, inexpensive, change sensitive, and reliable. They can be used to detect and follow not only DMD but also other neuromuscular disorders.<sup>3,17</sup> The recording of lowering to the ground and rising from the ground provides permanent and standardized records of compensatory movements and timed performance. Therefore, these tasks can be used for clinical and research purposes to describe and compare patients and groups.

### Study limitations

Considering that our follow-up rate was 78% (which must be considered as a limitation) and that age and Vignos scores are related to FES and time variability, future studies should assess larger samples. Multicentric studies can investigate potential differences in sensitivity to change and responsiveness with age and Vignos levels stratification.



## CONCLUSION

Patients should be assessed in six months or longer intervals in lowering to the ground and in nine months or longer intervals in rising from the ground. Increments of 2.0 points and/or 2.5 seconds (or higher) in the score of lowering to the ground assessment denote clinically relevant changes. Increments of 3 points (or higher) in rising from the ground assessment are clinically relevant. In this task, the timed performance showed high variability and should be analyzed in association with other measures for clinical decision-making.

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